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PTO/SB/21 (08-00)  
Approved for use through 10/31/2002. OMB 0651-0031  
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<b>TRANSMITTAL FORM</b>  (to be used for all correspondence after initial filing)	Application	08/900,417
	Filing Date	July 25, 1997
	First Named	John J. Daniels, et al
	Group Art Unit	Unassigned
	Examiner Name	Unassigned
Total Number of Pages in This Submission	Attorney Docket Number	14531.71.4.1

PTO U.S. PRO  
09/992190  
11/16/01

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form	<input checked="" type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Response	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	- Form PTO-2038 in the amount of \$40.00.
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<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	- Postcard
<input type="checkbox"/> Response to Missing Parts/Incomplete Application	Remarks	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	R. Burns Israelsen Workman, Nydegger & Seeley
Signature	<i>R. Burns Israelsen</i> 022913
Date	August 18, 2001 PATENT TRADEMARK OFFICE

CERTIFICATE OF MAILING	
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on this date: EL 813 865 736 US	
Typed or printed name	Lisa L. Rogers
Signature	<i>[Signature]</i>
Date	August 18, 2001

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App. 1 for use through 9/30/98. OMB 0651-0032  
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# DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION

☒ Declaration Submitted with Initial Filing OR ☐ Declaration Submitted after Initial Filing

Attorney Docket Number	JD-018(CIP)
First Named Inventor	DANIELS, JOHN J.
COMPLETE IF KNOWN	
Application Number	—
Filing Date	July 24 1997
Group Art Unit	2600 (ANTICIPATED)
Examiner Name	

As a below named inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

MULTI-FEATURED MULTI-MEDIA APPLIANCE

(Title of the Invention)

the specification of which

☒ is attached hereto  
OR

☐ was filed on (MM/DD/YYYY)

as United States Application Number or PCT International

Application Number

and was amended on (MM/DD/YYYY)

(if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37 Code of Federal Regulations, § 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code § 119 (a)-(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate, or § 365 (a) of any PCT International application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT International application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
				YES	NO
\$			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority sheet attached hereto:

I hereby claim the benefit under Title 35, United States Code § 119(e) of any United States provisional application(s) listed below.

Application Number(s)	Filing Date (MM/DD/YYYY)	<input type="checkbox"/> Additional provisional application numbers are listed on a supplemental priority sheet attached hereto.
60/014,959	April 4, 1996	

(Page 1 of 5)

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OMB 0651-0032  
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## DECLARATION

I hereby claim the benefit under Title 35, United States Code §120 of any United States application(s), or §365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of Title 35, United States Code §112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations §1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application.

U.S. Parent Application Number	PCT Parent Number	Parent Filing Date (MM/DD/YYYY)	Parent Patent Number (if applicable)
08/848,895 08/641,517 08/306,642 08/038,240		05/01/97 05/01/1996 09/15/1994 03/29/1993	

☐ Additional U.S. or PCT international application numbers are listed on a supplemental priority sheet attached hereto.

As a named inventor, I hereby appoint the following registered practitioner(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

Name	Registration Number	Name	Registration Number

☐ Additional registered practitioner(s) named on a supplemental sheet attached hereto.

Direct all correspondence to:

Name	JOHN J. DANIELS, Esq.		
Address	323 Roosevelt Drive		
Address			
City	SEYMOUR	State	CT
Country	US	Telephone	203/734-0426
		Fax	203/734-0426

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Name of Sole or First Inventor:

☐ A petition has been filed for this unsigned inventor

Given Name	JOHN DANIELS	Middle Initial	J	Family Name	DANIELS	Suffix e.g. Jr.	
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Inventor's Signature	John J. Daniels	Date	7/24/97
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Residence: City	SEYMOUR	State	CT	Country	USA	Citizenship	USA
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Post Office Address	323 Roosevelt Drive
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Post Office Address	
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City	SEYMOUR	State	CT	Zip	06483	Country	USA
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☐ Additional inventors are being named on supplemental sheet(s) attached hereto

ASSIGNMENT

WHEREAS, we, John J. Daniels, Bruce L. Adams, and Paul R. Hoffman, (hereinafter referred to as ASSIGNORS), having post office addresses of 325 Roosevelt Drive, Seymour, Connecticut 06483, 18 Holmhill Lane, Roseland, NJ 07068, 345 South End Avenue, Apartment 4M, NY, NY 10280, respectively, jointly own, by the Assignment document attached hereto as Exhibit A, the entire right, title, and interest in and to an invention entitled "**Multi-Featured Multi-Media Appliance**," as described and claimed in the specification forming part of an application for United States letters patent filed in the United States Patent and Trademark Office as Serial No. 08/900,417 on July 25, 1997.

WHEREAS, Microsoft Corporation (hereinafter referred to as ASSIGNEE), a corporation of the State of Washington having a place of business at One Microsoft Way, Redmond, WA 98052, is desirous of acquiring the entire right, title, and interest in and to the invention and in and to any letters patent that may be granted therefor in the United States and in any and all foreign countries;

NOW, THEREFORE, in exchange for good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNORS hereby sell, assign, and transfer unto said ASSIGNEE, the entire right, title, and interest in and to said invention, said application and any and all letters patent which may be granted for said invention in the United States of America and its territorial possessions and in any and all foreign countries, and in any and all divisions, reissues, and continuations thereof, including the right to file foreign applications directly in the name of ASSIGNEE and to claim priority rights deriving from said United States application to which said foreign applications are entitled by virtue of international convention, treaty, or otherwise, said invention, application, and all letters patent on said invention to be held and enjoyed by ASSIGNEE and its successors and assigns for their use and benefit and of their successors and assigns as fully and entirely as the same would have been held and enjoyed by ASSIGNORS had this assignment, transfer, and sale not been made. ASSIGNORS hereby authorize and request the Commissioner of Patents and Trademarks to issue all letters patent on said invention to ASSIGNEE. ASSIGNORS agree to execute all instruments and documents required for the making and prosecution of applications for United States and foreign letters patent on said invention, for litigation regarding said letters patent, or for the purpose of protecting title to said invention or letters patent therefore.

Dated this 13<sup>th</sup> day of December, 2000

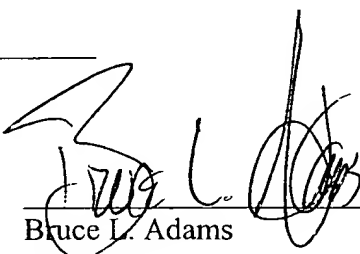
  
John J. Daniels  
U.S. Citizen

Connecticut  
STATE OF NEW YORK )  
Haven : ss.  
COUNTY OF NEW YORK )

On December 13, 2000, before me personally appeared John J. Daniels, known to me to be the person described and who signed the foregoing Assignment in my presence and acknowledged under oath before me that he has read the same and know the contents thereof and that he executed the same as his free act and deed and for the purposes set forth therein.

Susan DeBarber  
NOTARY PUBLIC  
Residing at New York, New York  
My Commission Expires: \_\_\_\_\_

SUSAN DeBARBER  
NOTARY PUBLIC  
MY COMMISSION EXPIRES JULY 31, 2005


  
Bruce L. Adams  
U.S. Citizen

STATE OF NEW YORK )  
: ss.  
COUNTY OF NEW YORK )

On 28<sup>th</sup> DEC, 2000, before me personally appeared Bruce L. Adams, known to me to be the person described and who signed the foregoing Assignment in my presence and acknowledged under oath before me that he has read the same and know the contents thereof and that he executed the same as his free act and deed and for the purposes set forth therein.

Ante M. Mukhatiyar  
NOTARY PUBLIC  
Residing at New York, New York  
My Commission Expires: \_\_\_\_\_

ANTE M. MUKHATIYAR  
NOTARY PUBLIC, State of New York  
No. 41-4985497  
Qualified in Queens County  
Commission Expires Aug. 19, 20 01

  
E. Hoffman  
Citizen

On 26th DEC, 2000, before me personally appeared Paul R. Hoffman, known to me to be the person described and who signed the foregoing Assignment in my presence and acknowledged under oath before me that he has read the same and know the contents thereof and that he executed the same as his free act and deed and for the purposes set forth therein.

*Ray M. Mulder*  
PUBLIC  
New York, New York

08-28-2001

cket No.: 14531.71.4.1

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office



Tab settings

To the Honorable Commissioner of Patent

101825152

ached original documents or copy thereof.

1. Name of conveying party(ies):

John J. Daniels  
Bruce L. Adams  
Paul R. Hoffman

8.-17-01

2. Name and address of receiving party(ies):

Name: Microsoft Corporation

Address: One Microsoft Way

AUG 18 2001

Additional names(s) of conveying party(ies)

☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other

City: Redmond

State/Prov.: WA

Country: USA

ZIP: 98052

Execution Date: December 28, 2000

Additional name(s) & address(es)

☐ Yes ☒ No

4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is:

Patent Application No.

Filing date

B. Patent No.(s)

08/900,417

July 25, 1997

Additional numbers

☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Rick D. Nydegger

Registration No. 28,651

Address: Workman, Nydegger & Seeley

1000 Eagle Gate Tower

60 East South Temple

City: Salt Lake City

State/Prov.: Utah

Country: USA

ZIP: 84111

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41):.....\$ 40.00

☒ Enclosed - Any excess or insufficiency should be credited or debited to deposit account

☐ Authorized to be charged to deposit account

8. Deposit account number:

23-3178

08/27/2001 LAUELLER 00000009 08900417

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

R. Burns Israelsen

Name of Person Signing

R. Burns Israelsen

Signature

August 18<sup>th</sup>, 2001

Date

4

Total number of pages including cover sheet, attachments, and



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

OCTOBER 26, 2001

PTAS



WORKMAN, NYDEGGER & SEELEY  
RICK D. NYDEGGER  
60 EAST SOUTH TEMPLE  
1000 EAGLE GATE TOWER  
SALT LAKE CITY, UTAH 84111

DOCKETED \*101825152A\*  
Atty. File # 1453.77.4.1  
By SP On 1108-01  
Action  
Response Due

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 08/18/2001

REEL/FRAME: 012098/0288  
NUMBER OF PAGES: 7

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:  
DANIELS, JOHN J.

DOC DATE: 12/13/2000

ASSIGNOR:  
ADAMS, BRUCE L.

DOC DATE: 12/28/2000

ASSIGNOR:  
HOFFMAN, PAUL R.

DOC DATE: 12/26/2000

ASSIGNEE:  
MICROSOFT CORPORATION  
ONE MICROSOFT WAY  
REDMOND, WASHINGTON 98052

SERIAL NUMBER: 08900417  
PATENT NUMBER:

FILING DATE: 07/25/1997  
ISSUE DATE:



012098/0288 PAGE 2

PAULA MCCRAY, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

012098/0288 PAGE 2